

Express Mail No. EK 839 582 445 US

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

	Dec. 31, 2003	Messer		· · · · · · · · · · · · · · · · · · ·
Title: IMPROVED		Messei	56257-5001-05-US	09629
			G, MANAGING, ASS M AND NETWORK (
Examiner:	······································		Art Unit 3621	

Office of Initial Patent Examination's Filing Receipt Corrections Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

A copy of the Filing Receipt for the above-referenced application is attached hereto. As indicated in red thereon, the title has been corrected.

The title should read as follows:

IMPROVED TRANSACTION TRACKING, MANAGING, ASSESSMENT AND AUDITING DATA PROCESSING SYSTEM AND NETWORK

No fee is believed to be due with this submission. However, should any fee be required, please charge such fee to Deposit Account No. 50-0310.

Respectfully submitted,

Dated: September 17, 2004

Steven D. Underwood, Esq. Registration No. 47,205

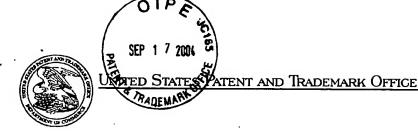
MORGAN, LEWIS & BOCKIUS LLP

101 Park Avenue

New York, NY 10178-0060

(212) 309-6000

3



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 10/749,941 12/31/2003 3621 D1-05-US 6

DANIEL GOLUB 1701 MARKET STREET PHILADELPHIA, PA 19103



CONFIRMATION NO. 6668 UPDATED FILING RECEIPT

OC000000013486289

Date Mailed: 08/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stephen D. Messer, New York, NY; Cheryl Ho, San Francisco, CA; Jianhao Meng, Cos Cob, CT;

Domestic Priority data as claimed by applicant

This application is a CON of 09/558,356 04/26/2000 which is a CIP of 09/098,860 06/17/1998 ABN which is a CIP of 08/871,921 06/10/1997 PAT 5,991,740

Foreign Applications

If Required, Foreign Filing License Granted: 05/03/2004

Projected Publication Date: 11/18/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Improved

Transaction tracking, managing, assessment, and auditing data processing system and network

705

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).